State of Connecticut

GEORGE JEPSEN
ATTORNEY GENERAL

Hartford
May 2, 2013

Mr. Carl Schwarz
39 Alpine Circle
Sandy Hook, CT 06482

Dear Mr. Schwarz:

You or your organization has been identified as having solicited or as currently soliciting funds for public or charitable purposes related to the Sandy Hook Elementary School tragedy. Consistent with our duty to oversee fundraising activity in Connecticut, by letter dated March 28, 2013, we asked you to provide information about your organization's fundraising and charitable efforts in support of the tragedy at Sandy Hook Elementary School by completing a brief survey. You have not responded to this survey.

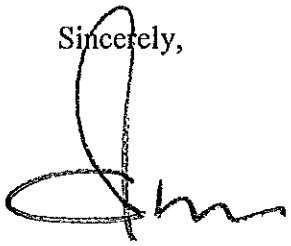
In our ongoing effort to promote transparency and encourage further giving, we again ask you to provide responses to the survey questions. Your voluntary compliance with this request at your earliest convenience will give reassurance to us and to the public that your fundraising activity is compliant with Connecticut law and that all donations are used only for the purposes represented to the public. A copy of the survey is attached, or if you prefer an electronic copy it may be downloaded at http://www.ct.gov/ag/lib/ag/charities/sandyhookfundraising-web.doc.

You should be aware that the Connecticut Solicitation of Charitable Funds Act, Conn. Gen. Stat. § 21a-175 et seq., requires persons or charitable organizations that solicit funds for a public or charitable purpose register with the Connecticut Department of Consumer Protection or file a request for exemption from registration. An organization that solicits funds for charitable purposes without being registered or obtaining an exemption from the registration requirement is subject to penalties under Connecticut law. If you are not registered or have not filed a request for exemption from registration, you must do so immediately. You can obtain the registration material by calling us at (860) 808-5020 or you may email us at Cheryl.Turner@ct.gov.

We encourage your voluntary compliance with our request that you provide responses to the enclosed survey and all state registration requirements. As indicated, an electronic copy of the survey for fundraising related to the Sandy Hook tragedy is available at http://www.ct.gov/ag/lib/ag/charities/sandyhookfundraising-web.doc or may be obtained by sending an email to Caitlin.Calder@ct.gov.

Please email your survey responses to Caitlin.Calder@ct.gov. For more information on registration or if you have any questions, please call (860) 808-5020. Please complete the survey and registration by May 17, 2013.

Sincerely,



GEORGE JEPSEN
Attorney General

WILLIAM M. RUBENSTEIN
Commissioner
Department of Consumer Protection

Connecticut Office of Attorney General
Connecticut Department of Consumer Protection

Sandy Hook Relief Information

Name of Organization_____

1. What is the approximate dollar amount of donations and pledges that your organization has received to date in response to the Sandy Hook tragedy?

2. Will these funds be used solely for victims Sandy Hook tragedy? If not, approximately what portion will be used for relief for victims and what other purposes, and in what proportions, will funds be used for?

3. Approximately how much has your organization spent to date on Sandy Hook tragedy?

4. What services has your organization provided to those affected by the Sandy Hook tragedy? What populations or class of victims are being served by your organization in response to Sandy Hook? What services does it expect to provide in the future?

5. Has your organization provided funding to other organizations for purposes related to the Sandy Hook tragedy? If so, which organizations have received those funds and what is the approximate dollar amount provided to them? How does your organization determine the need for funding?

6. Has your organization provided, or does it intend to provide, direct financial assistance to individuals, families or businesses for Sandy Hook relief? If so, what is the approximate dollar amount that your organization has provided to date and approximately how much direct financial assistance does it expect to provide in the future? How does your organization determine the need for assistance?

7. Does your organization have a plan in place on how to use any surplus funds not spent for Sandy Hook tragedy? If so, please describe that plan.

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Name and title of representative Date

Please email this document with your responses to Caitlin.Calder@ct.gov by May 17, 2013. You may obtain an electronic copy of this document at http://www.ct.gov/ag/lib/ag/charities/sandyhookfundraising-web.doc or by emailing a request to Caitlin.Calder@ct.gov.